

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |              |
|----------------------------|--------------|
| <b>Name of Director</b>    | ROSS STANLEY |
| <b>Date of last notice</b> | 5 June 2020  |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|                                                                                                                                                                                            |                                                                                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| <b>Direct or indirect interest</b>                                                                                                                                                         | Indirect                                                                                                                   |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>                        | Ross Stanley is a director and beneficiary of Tazga Two Pty Ltd                                                            |
| <b>Date of change</b>                                                                                                                                                                      | 30 September 2020                                                                                                          |
| <b>No. of securities held prior to change</b>                                                                                                                                              | <b>Tazga Two Pty Ltd</b><br>50,511,599 Fully paid ordinary shares<br>8,418,600 Listed \$0.10 options, expiring 5 June 2022 |
| <b>Class</b>                                                                                                                                                                               | Fully Paid Ordinary Shares                                                                                                 |
| <b>Number acquired</b>                                                                                                                                                                     | 150,000 Fully Paid Ordinary Shares                                                                                         |
| <b>Number disposed</b>                                                                                                                                                                     | Nil                                                                                                                        |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and estimated valuation</small>                                                                   | \$9,600                                                                                                                    |
| <b>No. of securities held after change</b>                                                                                                                                                 | <b>Tazga Two Pty Ltd</b><br>50,661,599 Fully paid ordinary shares<br>8,418,600 Listed \$0.10 options, expiring 5 June 2022 |
| <b>Nature of change</b><br><small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small> | Off-market trade.                                                                                                          |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
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**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|                                                                                                                                                                                                     |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Detail of contract</b>                                                                                                                                                                           |  |
| <b>Nature of interest</b>                                                                                                                                                                           |  |
| <b>Name of registered holder<br/>(if issued securities)</b>                                                                                                                                         |  |
| <b>Date of change</b>                                                                                                                                                                               |  |
| <b>No. and class of securities to which<br/>interest related prior to change</b><br><small>Note: Details are only required for a contract in relation<br/>to which the interest has changed</small> |  |
| <b>Interest acquired</b>                                                                                                                                                                            |  |
| <b>Interest disposed</b>                                                                                                                                                                            |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an<br/>estimated valuation</small>                                                                     |  |
| <b>Interest after change</b>                                                                                                                                                                        |  |

**Part 3 – +Closed period**

|                                                                                                                                                            |     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>Were the interests in the securities or contracts detailed<br/>above traded during a +closed period where prior written<br/>clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the<br/>trade to proceed during this period?</b>                                                   | N/A |
| <b>If prior written clearance was provided, on what date was<br/>this provided?</b>                                                                        | N/A |

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|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                   |
|----------------------------|-------------------|
| <b>Name of Director</b>    | MILES KENNEDY     |
| <b>Date of last notice</b> | 25 September 2020 |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|                                                                                                                                                      |                                                                                                                                                                                                                                        |
|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Direct or indirect interest</b>                                                                                                                   | Indirect                                                                                                                                                                                                                               |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest. | Miles Kennedy is a director and beneficiary of Kennedy Holdings (WA) Pty Ltd                                                                                                                                                           |
| <b>Date of change</b>                                                                                                                                | 30 September 2020                                                                                                                                                                                                                      |
| <b>No. of securities held prior to change</b>                                                                                                        | <b>Direct</b><br>130,000 Unlisted \$0.4355 options, expiring 7 June 2021<br><br><b>Indirect</b><br><b>Kennedy Holdings (WA) Pty Ltd</b><br>3,000,153 Fully paid ordinary shares<br>525,026 Listed \$0.10 options, expiring 5 June 2022 |
| <b>Class</b>                                                                                                                                         | 150,000 Fully paid ordinary shares                                                                                                                                                                                                     |
| <b>Number acquired</b>                                                                                                                               | Nil                                                                                                                                                                                                                                    |
| <b>Number disposed</b>                                                                                                                               | 150,000 Fully paid ordinary shares                                                                                                                                                                                                     |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation                                            | \$9,600                                                                                                                                                                                                                                |

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|                                                                                                                                                                             |                                                                                                                                                                                                                                        |
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| <b>No. of securities held after change</b>                                                                                                                                  | <b>Direct</b><br>130,000 Unlisted \$0.4355 options, expiring 7 June 2021<br><br><b>Indirect</b><br><b>Kennedy Holdings (WA) Pty Ltd</b><br>2,850,153 Fully paid ordinary shares<br>525,026 Listed \$0.10 options, expiring 5 June 2022 |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Off-market trade to meet tax liability on LOM performance shares.                                                                                                                                                                      |

**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|                                                                                                                                                                              |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Detail of contract</b>                                                                                                                                                    |  |
| <b>Nature of interest</b>                                                                                                                                                    |  |
| <b>Name of registered holder (if issued securities)</b>                                                                                                                      |  |
| <b>Date of change</b>                                                                                                                                                        |  |
| <b>No. and class of securities to which interest related prior to change</b><br>Note: Details are only required for a contract in relation to which the interest has changed |  |
| <b>Interest acquired</b>                                                                                                                                                     |  |
| <b>Interest disposed</b>                                                                                                                                                     |  |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and an estimated valuation                                                                 |  |
| <b>Interest after change</b>                                                                                                                                                 |  |

**Part 3 – +Closed period**

|                                                                                                                                                    |     |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>                                               | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>                                                                    | N/A |

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+ See chapter 19 for defined terms.