

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                 |
|----------------------------|-----------------|
| <b>Name of Director</b>    | MILES KENNEDY   |
| <b>Date of last notice</b> | 23 January 2018 |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

| <b>Direct or indirect interest</b>  | Indirect  |
|---|---|
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small> | Miles Kennedy is a director and beneficiary of Kennedy Holdings (WA) Pty Ltd and MAK Super (WA) Pty Ltd (ATF MAK Superannuation Fund)   |
| <b>Date of change</b>   | 7 June 2018   |
| <b>No. of securities held prior to change</b>   | <p><b>Kennedy Holdings (WA) Pty Ltd</b><br/>           1,248,333 Fully paid ordinary shares</p> <p>500,000 Unlisted \$0.53 options, expiring 2 June 2019</p> <p>230,000 Unlisted \$0.46 options expiring 31 May 2020</p> <p>125,000 Performance Rights expiring 2 June 2019</p> <p>180,000 Performance Rights expiring 31 May 2020</p> <p><b>MAK Super (WA) Pty Ltd</b><br/>           1,093,752 Fully paid ordinary shares</p> |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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|   |   |
|---|---|
| <b>Class</b>  | (i) Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) Performance Rights expiring 7 June 2021   |
| <b>Number acquired</b>  | (i) 130,000 Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) 185,000 Performance Rights expiring 7 June 2021   |
| <b>Number disposed</b>  | (i) Nil<br>(ii) Nil   |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | (i) Nil<br>(ii) Nil   |
| <b>No. of securities held after change</b>  | <p><b>Kennedy Holdings (WA) Pty Ltd</b><br/> 1,248,333 Fully paid ordinary shares</p> <p>500,000 Unlisted \$0.53 options, expiring 2 June 2019</p> <p>230,000 Unlisted \$0.46 options expiring 31 May 2020</p> <p>125,000 Performance Rights expiring 2 June 2019</p> <p>180,000 Performance Rights expiring 31 May 2020</p> <p>130,000 Unlisted \$0.4355 options, expiring 7 June 2021</p> <p>185,000 Performance Rights expiring 7 June 2021</p> <p><b>MAK Super (WA) Pty Ltd</b><br/> 1,093,752 Fully paid ordinary shares</p> |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of incentive options and performance rights under the Company's incentive and retention plan following shareholder approval at the annual general meeting on 24 May 2018.   |

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+ See chapter 19 for defined terms.

**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Detail of contract</b>   |  |
| <b>Nature of interest</b>   |  |
| <b>Name of registered holder<br/>(if issued securities)</b>   |  |
| <b>Date of change</b>   |  |
| <b>No. and class of securities to<br/>which interest related prior to<br/>change</b><br><small>Note: Details are only required for a contract in relation<br/>to which the interest has changed</small> |  |
| <b>Interest acquired</b>  |  |
| <b>Interest disposed</b>  |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an<br/>estimated valuation</small>   |  |
| <b>Interest after change</b>  |  |

**Part 3 – <sup>+</sup>Closed period**

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed<br/>above traded during a <sup>+</sup>closed period where prior<br/>written clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the<br/>trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date<br/>was this provided?</b>   | N/A |

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<sup>+</sup> See chapter 19 for defined terms.

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Introduced 30/09/01 Amended 01/01/11

|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                   |
|----------------------------|-------------------|
| <b>Name of Director</b>    | STEPHEN WETHERALL |
| <b>Date of last notice</b> | 30 April 2018     |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |   |
|---|---|
| <b>Direct or indirect interest</b>  | Direct & Indirect   |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small> | Joanne Karen Wetherall is the spouse of Stephen Wetherall   |
| <b>Date of change</b>   | 7 June 2018   |
| <b>No. of securities held prior to change</b>   | <p><b>Direct</b><br/>65,000 Fully paid ordinary shares</p> <p><b>Indirect</b><br/>1,937,500 Fully paid ordinary shares<br/>500,000 Unlisted \$0.53 options, expiring 2 June 2019<br/>400,000 Unlisted \$0.46 options expiring 31 May 2020<br/>250,000 Performance Rights expiring 2 June 2019<br/>312,500 Performance Rights expiring 31 May 2020</p> |
| <b>Class</b>  | <p>(i) Unlisted \$0.4355 options, expiring 7 June 2021</p> <p>(ii) Performance Rights expiring 7 June 2021</p>  |

+ See chapter 19 for defined terms.

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|   |   |
|---|---|
| <b>Number acquired</b>  | (i) 210,000 Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) 690,000 Performance Rights expiring 7 June 2021   |
| <b>Number disposed</b>  | (i) Nil<br>(ii) Nil   |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | (i) Nil<br>(ii) Nil   |
| <b>No. of securities held after change</b>  | <p><b>Direct</b><br/> 65,000 Fully paid ordinary shares<br/> 210,000 Unlisted \$0.4355 options, expiring 7 June 2021<br/> 690,000 Performance Rights expiring 7 June 2021</p> <p><b>Indirect</b><br/> 1,937,500 Fully paid ordinary shares<br/> 500,000 Unlisted \$0.53 options, expiring 2 June 2019<br/> 400,000 Unlisted \$0.46 options expiring 31 May 2020<br/> 250,000 Performance Rights expiring 2 June 2019<br/> 312,500 Performance Rights expiring 31 May 2020</p> |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of incentive options and performance rights under the Company's incentive and retention plan following shareholder approval at the annual general meeting on 24 May 2018.   |

**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Detail of contract</b>                               |  |
| <b>Nature of interest</b>                               |  |
| <b>Name of registered holder (if issued securities)</b> |  |
| <b>Date of change</b>                                   |  |

+ See chapter 19 for defined terms.

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|   |  |
|---|--|
| <b>No. and class of securities to which interest related prior to change</b><br><small>Note: Details are only required for a contract in relation to which the interest has changed</small> |  |
| <b>Interest acquired</b>  |  |
| <b>Interest disposed</b>  |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an estimated valuation</small>   |  |
| <b>Interest after change</b>  |  |

**Part 3 – <sup>+</sup>Closed period**

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>   | N/A |

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Introduced 30/09/01 Amended 01/01/11

|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                |
|----------------------------|----------------|
| <b>Name of Director</b>    | NICHOLAS SELBY |
| <b>Date of last notice</b> | 30 April 2018  |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Direct or indirect interest</b>  | Direct and Indirect  |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small> | Ms Diana Peta Selby<br>(Spouse of Mr Nicholas Selby)<br><br>Mr Nick Selby and Mrs Diana Peta Selby   |
| <b>Date of change</b>   | 7 June 2018  |
| <b>No. of securities held prior to change</b>   | <b>Direct</b><br>375,000 Fully Paid Ordinary Shares<br><br>500,000 Unlisted Options Exercisable at \$0.53 expiring 2 June 2019<br><br>187,500 Unlisted Performance Rights expiring 2 June 2019<br><br>187,500 Unlisted Performance Rights expiring 31 May 2020<br><br><b>Indirect</b><br>900,000 Fully Paid Ordinary Shares<br><br>300,000 Unlisted Options exercisable at \$0.46 expiring 31 May 2020 |

+ See chapter 19 for defined terms.

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|   |   |
|---|---|
| <b>Class</b>  | (i) Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) Performance Rights expiring 7 June 2021   |
| <b>Number acquired</b>  | (i) 165,000 Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) 415,000 Performance Rights expiring 7 June 2021   |
| <b>Number disposed</b>  | (i) Nil<br>(ii) Nil   |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | (i) Nil<br>(ii) Nil   |
| <b>No. of securities held after change</b>  | <p><b>Direct</b></p> <p>375,000 Fully Paid Ordinary Shares</p> <p>500,000 Unlisted Options Exercisable at \$0.53 expiring 2 June 2019</p> <p>187,500 Performance Rights expiring 2 June 2019</p> <p>187,500 Performance Rights expiring 31 May 2020</p> <p>165,000 Unlisted \$0.4355 options, expiring 7 June 2021</p> <p>415,000 Performance Rights expiring 7 June 2021</p> <p><b>Indirect</b></p> <p>900,000 Fully Paid Ordinary Shares</p> <p>300,000 Unlisted Options exercisable at \$0.46 expiring 31 May 2020</p> |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of incentive options and performance rights under the Company's incentive and retention plan following shareholder approval at the annual general meeting on 24 May 2018.   |

+ See chapter 19 for defined terms.



**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Detail of contract</b>   |  |
| <b>Nature of interest</b>   |  |
| <b>Name of registered holder (if issued securities)</b>   |  |
| <b>Date of change</b>   |  |
| <b>No. and class of securities to which interest related prior to change</b><br><small>Note: Details are only required for a contract in relation to which the interest has changed</small> |  |
| <b>Interest acquired</b>  |  |
| <b>Interest disposed</b>  |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an estimated valuation</small>   |  |
| <b>Interest after change</b>  |  |

**Part 3 – <sup>+</sup>Closed period**

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>   | N/A |

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Introduced 30/09/01 Amended 01/01/11

|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                 |
|----------------------------|-----------------|
| <b>Name of Director</b>    | ALBERT THAMM    |
| <b>Date of last notice</b> | 23 January 2018 |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Direct or indirect interest</b>  | Direct   |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small> |  |
| <b>Date of change</b>   | 7 June 2018  |
| <b>No. of securities held prior to change</b>   | 355,000 Fully paid ordinary shares<br><br>250,000 Unlisted \$0.53 options, expiring 2 June 2019<br><br>190,000 Unlisted \$0.46 options expiring 31 May 2020<br><br>62,500 Performance Rights expiring 2 June 2019<br><br>140,000 Performance Rights expiring 31 May 2020 |

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+ See chapter 19 for defined terms.

**Appendix 3Y**  
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|   |  |
|---|--|
| <b>Class</b>  | (i) Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) Performance Rights expiring 7 June 2021  |
| <b>Number acquired</b>  | (i) 105,000 Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) 145,000 Performance Rights expiring 7 June 2021  |
| <b>Number disposed</b>  | (i) Nil<br>(ii) Nil  |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | (i) Nil<br>(ii) Nil  |
| <b>No. of securities held after change</b>  | 355,000 Fully paid ordinary shares<br><br>250,000 Unlisted \$0.53 options, expiring 2 June 2019<br><br>190,000 Unlisted \$0.46 options expiring 31 May 2020<br><br>62,500 Performance Rights expiring 2 June 2019<br><br>140,000 Performance Rights expiring 31 May 2020<br><br>105,000 Unlisted \$0.4355 options, expiring 7 June 2021<br><br>145,000 Performance Rights expiring 7 June 2021 |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of incentive options and performance rights under the Company's incentive and retention plan following shareholder approval at the annual general meeting on 24 May 2018.  |

**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Detail of contract</b>                               |  |
| <b>Nature of interest</b>                               |  |
| <b>Name of registered holder (if issued securities)</b> |  |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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|   |  |
|---|--|
| <b>Date of change</b>   |  |
| <b>No. and class of securities to which interest related prior to change</b><br><small>Note: Details are only required for a contract in relation to which the interest has changed</small> |  |
| <b>Interest acquired</b>  |  |
| <b>Interest disposed</b>  |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an estimated valuation</small>   |  |
| <b>Interest after change</b>  |  |

**Part 3 – <sup>+</sup>Closed period**

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>   | N/A |

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Introduced 30/09/01 Amended 01/01/11

|                       |                                |
|-----------------------|--------------------------------|
| <b>Name of entity</b> | LUCAPA DIAMOND COMPANY LIMITED |
| <b>ACN</b>            | 111 501 663                    |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                          |
|----------------------------|--------------------------|
| <b>Name of Director</b>    | GORDON MAXWELL GILCHRIST |
| <b>Date of last notice</b> | 23 January 2018          |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Direct or indirect interest</b>  | Direct & Indirect  |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small> | Frances Gilchrist is the spouse of Gordon Gilchrist  |
| <b>Date of change</b>   | 7 June 2018  |
| <b>No. of securities held prior to change</b>   | <p><b>Direct</b></p> <p>1,171,251 Fully paid ordinary shares<br/>250,000 Unlisted \$0.53 options, expiring 2 June 2019<br/>190,000 Unlisted \$0.46 options expiring 31 May 2020<br/>62,500 Performance Rights expiring 2 June 2019<br/>140,000 Performance Rights expiring 31 May 2020</p> <p><b>Indirect</b></p> <p>85,290 Fully paid ordinary shares</p> |

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**Appendix 3Y**  
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|   |   |
|---|---|
| <b>Class</b>  | (i) Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) Performance Rights expiring 7 June 2021   |
| <b>Number acquired</b>  | (i) 105,000 Unlisted \$0.4355 options, expiring 7 June 2021<br>(ii) 145,000 Performance Rights expiring 7 June 2021   |
| <b>Number disposed</b>  | (i) Nil<br>(ii) Nil   |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | (i) Nil<br>(ii) Nil   |
| <b>No. of securities held after change</b>  | <b>Direct</b><br>1,171,251 Fully paid ordinary shares<br>250,000 Unlisted \$0.53 options, expiring 2 June 2019<br>190,000 Unlisted \$0.46 options expiring 31 May 2020<br>62,500 Performance Rights expiring 2 June 2019<br>140,000 Performance Rights expiring 31 May 2020<br>105,000 Unlisted \$0.4355 options, expiring 7 June 2021<br>145,000 Performance Rights expiring 7 June 2021<br><br><b>Indirect</b><br>85,290 Fully paid ordinary shares |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of incentive options and performance rights under the Company's incentive and retention plan following shareholder approval at the annual general meeting on 24 May 2018.   |

**Part 2 – Change of director's interests in contracts N/A**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |  |
|---|--|
| <b>Detail of contract</b>                               |  |
| <b>Nature of interest</b>                               |  |
| <b>Name of registered holder (if issued securities)</b> |  |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
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|   |  |
|---|--|
| <b>Date of change</b>   |  |
| <b>No. and class of securities to which interest related prior to change</b><br><small>Note: Details are only required for a contract in relation to which the interest has changed</small> |  |
| <b>Interest acquired</b>  |  |
| <b>Interest disposed</b>  |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an estimated valuation</small>   |  |
| <b>Interest after change</b>  |  |

**Part 3 – +Closed period**

|  |     |
|--|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b> | NO  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>   | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>  | N/A |

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